

WHOLESALE SUCCESS

Risk Assessments & Land Use

PREPARE YOUR MATERIALS:

1. Your Farm Map

www.onfarmfoodsafety.org
www.familyfarmed.org

FAMILYFARMED **USDA** USDA is an equal opportunity provider.

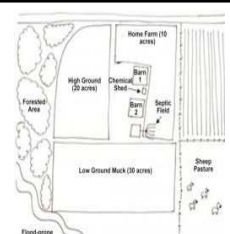
Land Use Risk Assessment & Conservation Co-Management 

- 1. Source:** What and where are the potential contamination risks?
- 2. Pathways:** How can the contaminants move?
- 3. Risk Mitigation:** What systems and practices can minimize risk?



Basic Features

- Farm name, location
- Date/or update of map
- Crop production areas with ID
- Greenhouses and high tunnels
- Postharvest and storage areas
- Buildings and infrastructure
- Roads and driveways
- Water sources: wells, irrigation hydrants, ponds, canals, and streams
- Water Deliver Systems: Irrigation pipes, valves, gates, reservoirs, returns
- Indicate directions, N, S, E, W



Sources **MAP IT!**

- Domestic animal areas: feeding, grazing, travel lanes
- Areas pets frequent
- High levels of wild animal activity (i.e. nesting or feeding)
- Manure storage, compost production and storage
- Green waste piles
- Produce cull sites
- Gasoline storage, chemical, fertilizer, and pesticide storage
- Recent history of landfill, industrial activities, heavy metals
- Areas for washing equipment and animals
- Human sanitation facilities, septic leach fields, trash
- Worker break areas, parking & visitor access areas

INCLUDE NEIGHBOR'S LAND IN PATHWAY SHED

Survival & Reproduction

- Pooled Water
- Indicate time of year






Sediments and algae blooms can be a key site for pathogen persistence in water bodies.



Biofilms can provide protection from environmental stress and predation by other microbes

Field and Land Risk Assessment

Date completed: _____
Signature of Person Who Completed It: _____
Chart the issues you have identified with your drawings and maps.



Location	Potential Contaminant Chemical, Physical, Biological	Pathways Air, Water, Animals, Humans, Equipment	Risk Assessment		Action
			Likelihood	Severity	

Study your map for potential sources of contaminants.

Fill in potential risks here.

Contamination Pathways: **ANIMALS**

- Draw migratory bird routes and paths of wild animals
- Indicate domestic animal movement



Contamination Pathways: **AIR**

Use arrows to show air movement



Contamination Pathways: **WATER**

- Indicate sloping land that water will run off

Animals on hillside above crops may increase risk when rain carries pathogens into crop fields.




Contamination Pathways: **WATER**



Where is the water from?

Animals Higher in Watershed

Animals overly impacting streams can result in polluted water downstream on the farm.





Field and Land Risk Assessment

Date completed:
Signature of Person Who Completed It:
Chart the issues you have identified with your drawings and maps.

Location	Potential Contaminant Chemical, Physical, Biological	Pathways Air, Water, Animals, Humans, Equipment	Risk Assessment		Action
			Likelihood	Severity	

Next to the potential sources of pathogens you've identified list the pathways the contaminant could take to your produce, water, or produce contact surfaces.

RISK ASSESSMENT: Prioritize

EXAMPLE RISK		Probability				
		Very High	High	Medium	Low	Very Low
Consequence	Very High	Very High	Very High	Very High	High	High
	High	Very High	High	High	Medium	Medium
	Medium	High	High	Medium	Medium	Low
	Low	High	Medium	Medium	Low	Very Low
	Very Low	Medium	Low	Low	Very Low	Very Low

Listeria Example

Field and Land Risk Assessment

Date completed:
Signature of Person Who Completed It:
Chart the issues you have identified with your drawings and maps.


Location	Potential Contaminant Chemical, Physical, Biological	Pathways Air, Water, Animals, Humans, Equipment	Risk Assessment		Action
			Likelihood	Severity	

Low
Medium
High

Actions To Minimize Risk


Location	Potential Contaminant Chemical, Physical, Biological	Pathways Air, Water, Animals, Humans, Equipment	Risk Assessment		Action
			Likelihood	Severity	

Co-Management: Practices & Systems that minimize microbiological hazards associated with food production while also conserving and protecting soil, water, air, wildlife, and other natural resources.




2005

California's Salinas Valley—the nation's salad bowl, is also the heart of the leafy green food safety crisis.



2008

Tree lines that served as windbreaks and habitat for beneficial insects and rodent-eating raptors in the past were rapidly removed because of the unfounded fear that native birds are significant vectors of E. coli O157.




The Produce Rule and Domestic and Wild Animals

PR Guidance: Farms are not required to exclude animals from outdoor growing areas, destroy habitat, or clear borders around growing or drainage areas. Nothing in the rule should be interpreted as such.


Predatory animals, such as hawks, or owls, can manage rodents and squirrels and can be good for food safety.

- A crop should not be planted directly under a raptor nest box, or roost.
- Farm activities should not carry -predator feces into produce areas




Biodiversity Loss May Increase Pathogen Prevalence

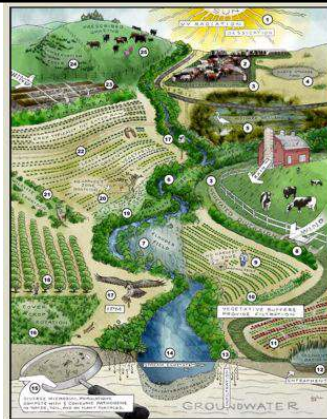
- A study conducted in California suggests that a reduction in rodent species diversity may cause increased pathogen prevalence in the individuals that remain.
- Other research shows that biodiversity loss frequently increases disease transmission.




D. Cappuccino, Michigan State U. Bigwood.org




Healthy Diverse Ecosystems Help Keep Pathogens in Check



Vegetation's Filtering Capacity




MAP IT




UC Davis researchers found grass and wetlands can filter up to 99% of E coli during rain events.

Map Riparian Vegetation




Contamination Pathways: **WATER-Rain**

Potential Risk: Animals on hillside above crops may increase risk when rain carries pathogens into crop fields.




MAP IT

Possible Strategies

- Diversion Ditch
- Grass strips

Vegetation's Filtering Capacity

Windbreaks and hedgerows reduce the potential of dust-borne pathogen movement from contaminated areas.



MAP IT

S. Earnshaw


Contamination Pathways: **AIR**

How can vegetation reduce these risks?



Crop Placement

Crops should not be planted near, down wind, or down water of manure piles or other sources of contamination.



Map Compost Making Area and Windbreak






Healthy Organic Components Help to Keep Pathogens in Check

1a. Map Prevailing Wind Direction, Pathogen Source and Windbreak




Healthy Organic Components Help to Keep Pathogens in Check

Wildlife Corridors
 Allow wildlife to access resources without having to walk across crop fields or leave their preferred habitat


Encourage Raptors to Deter Pests on the Farm Contaminating Crops







2c. Map Areas of Overhanging Vegetation





Factors in Survival of Human Pathogens


- **Direct Sunlight:** UV rays and drying can decrease pathogens
- Pathogens persist longer in **cool/moist conditions**
- **Freezing** by itself does not completely kill pathogens (E coli O15 stored at -80° to preserve viability)
- **Rapid freeze-thaw cycles** can cause rapid death of pathogens in soil
- **Desiccation** in dry soils, wind, sun



Source: Wild Farm Alliance
 A Farmer's Guide to Food Safety and Conservation

Non-Pathogenic Beneficial Microbes Generally Prevail if Diverse Populations are Present


- Outcompete the pathogens for food, water, and space
- Kill and consume the pathogens
- Make conditions unfavorable by tying up critical growth nutrients



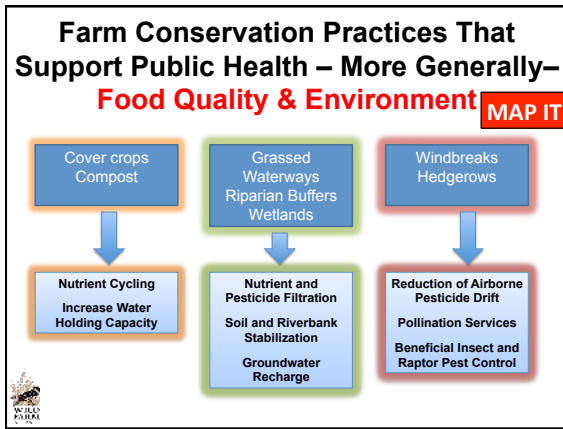
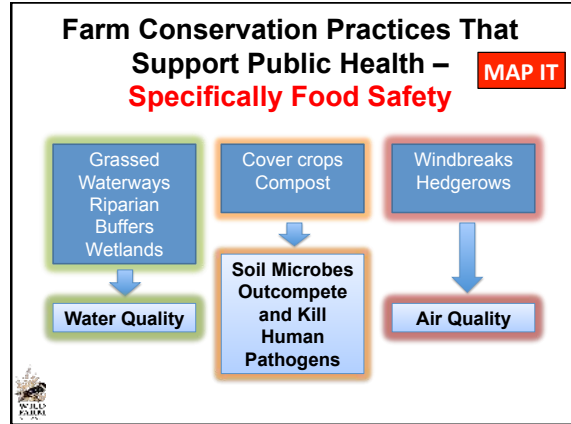
Soil Management Affects the Survival of Human Illness Pathogens

Microbial diversity helps to reduce pathogen survival

- Cover crops significantly reduced e-coli in a field with raw dairy manure solids
- E-coli declined faster in organic systems than non-organic



A.D. van Diepeningen, O.J.de Vos, and A.H.van Bruggen 2005



- ### MAP IT: Conservation Habitat to Reduce Risk
- Vegetated Water Diversion Ditches
 - Grass Water Way
 - Sediment Basin
 - Hedgerows And Windbreaks
 - Riparian Vegetated Areas
 - Grass Filter Strip Beside Fields Or Water Or Roads
 - Wetlands
 - Forestlands

Land Use Action Plan: State clearly what you plan to do and create accountability

Area of Food Safety Action	What is to be done?	How is this done?	When is this done?	Who is required to do this?	Training	Record	Rank 1-3	Check-in
3- Barrier - Assess Risk of Pathogens Entering the Farm								
1a. Airborne Pathogens								
1b. Waterborne Pathogens								

Land Use Food Safety Action Plan – Co-Management Strategy & Examples

You now have a clear illustration of risk areas on your farm that need management attention to minimize risk. Your next step is to create a Land Use Action Plan. This plan will be a valuable tool to establish and implement practices that address the "areas of food safety actions" you've now identified. It will describe the steps you will take to reduce these risks. Your Land Use Action Plan will be the heart of the land use section of your Food Safety Plan.

Jo Ann Baumgartner of Wild Farm Alliance developed the following Land Use Action Plan example guide and template based on "co-management" strategies. It is designed to utilize the many ecosystem specific conditions by integrating cultural, management approaches that respond to site specific conditions by integrating cultural,

Field and Land Risk Assessment

Date completed: _____
Signature of Person Who Completed It: _____
Chart the issues you have identified with your drawings and maps.

Location	Potential Contaminant Chemical, Physical, Biological	Pathways Air, Water, Animals, Humans, Equipment	Risk Assessment		Action
			Likelihood	Severity	
					Co-Management: Practices & Systems that minimize microbiological hazards associated with food production while also conserving and protecting soil, water, air, wildlife, and other natural resources.

The Produce Rule On Domestic and Wild Animals

PR: *“take all measures reasonably necessary to identify and not harvest produce that is likely to be contaminated”*

Difference In Ease Of Control




You’ve Identified a risk. How will you mitigate it?

Domestic Animals

- Avoid direct contact with animals other than working animals.
- Take steps to minimize the likelihood of contamination when in direct contact with working animals
- Take steps to minimize animal feces getting on produce and contact surfaces

- **USE A SOP**
- **TRAIN**
- **MONITOR**
- **CORRECT**





Working Animals? Develop SOPs: Train animal handlers.

- Is edible portion in the field? How close to harvest?
- To do if animal poops in the field near or on produce
- Practices to complete after handling animal: Handwashing, cleaning and sanitizing tools

USE A SOP & TRAIN/MONITOR/CORRECT



You’ve Identified a risk. How will you mitigate it?

Pet Or Working Domestic Animal?

Working dogs and cats are not prohibited in PR Audits? Pick your battles.

- SOP
- Train
- Monitor
- Correct
- Audits

Visitor’s PETS should be left at home



Animals, Domestic Or Wild In Produce Fields.



Although the Produce Rule does not require establishing waiting periods between grazing and harvest, the FDA encourages farmers to voluntarily consider applying such intervals as appropriate for the farm’s commodities and practices.




Be aware, that most audit programs do require waiting periods or have specific standards.

Number of Animals

High concentrations of wildlife in the growing and harvesting environment increase risk.

MONITOR: Immediately prior to harvest: PR requires farms to visually examine the growing area and produce to be harvested, regardless of the harvest method used.



Transaction Date	Location/Field	Area Affected	Corrective action/Prevention within 72 hours	Identified by whom	Date Contamination completed	Completed by whom

PR does not require documentation on monitoring. Many 3rd party audits do require documentation.

Immediately Prior To Harvest

- MONITOR** for fecal contamination & signs of animal activity (trampling, rooting, feeding, tracks, broken fence)
- ASSESS** risks and decide if the crop or a portion of the crop can be safely harvested
- CORRECT: Make Decisions About Harvest**
 - Do not harvest any produce that may be contaminated
 - Determine if no-harvest buffer zones around the contamination are sufficient to reduce risk to allow harvest of the uncontaminated produce
 - Suggested no-harvest buffer zones vary from a 0-25 foot radius, depending on the crop, climate, contamination event, and harvest equipment



CORRECT: Make Decision: What to do with the contamination

- Remove, leave, bury, or other
- Consider risks that could result from these actions (e.g., cross-contamination of equipment with feces)

During The Growing Season

PR also requires assessments during the growing season.

If significant evidence of potential contamination by animals is found, take measures reasonably necessary

- Monitor for feces and evidence of intrusion
- Evaluate the risk of fecal contamination on produce
- Deter




Fence Or Net




Visual Deterrent Or Noise



Decoy



Trap



Tactile Repellent

WORKER TRAINING

Workers must receive training to:


- Recognize and not harvest contaminated produce
- Inspect and correct problems with harvest containers and equipment or report issues to a supervisor, so they do not become a contamination source

Workers must:

- Take measures to not harvest contaminated produce
- Wash hands after handling animal feces or any time hands may be contaminated

Workers should:

- Report food safety concerns to a supervisor



THANK-YOU

- Evaluations

www.atinadiffley.com
www.familyfarmed.org



The image shows three book covers. On the left is 'Wholesale Success: A Farm-to-Wholesale Business Guide for Small Farms' by Atina Diffley and Nancy E. Kelly. In the middle is 'Direct Market Success: A Farm-to-Market Business Guide for Small Farms' by Atina Diffley. On the right is 'Turn Here Sweet Corn: A Small Farming Book' by Atina Diffley, featuring a photo of a man and a woman in a field.

WHOLESALE SUCCESS

THANK YOU!

- Resources
- Follow-up

james@familyfarmed.org
onfarmfoodsafety.org
familyfarmed.org
atinadiffley.com

www.onfarmfoodsafety.org
www.familyfarmed.org

FAMILYFARMED **USDA** This institution is an equal opportunity provider.



The image features a background of various fresh vegetables like tomatoes, peppers, and leafy greens. A white box in the center contains contact information. At the bottom, there are logos for FamilyFarmed and USDA, along with a statement: 'This institution is an equal opportunity provider.'